## CS 170, Fall 1999

Midterm 2 with Solutions Professor Demmel

## Problem \#1

1) (15 points) The following is a forest formed after some number of UNIONs and FINDs, starting with the disjoint sets A,B,C,D, E, F, G, H, and I. Both union-by-rank and path compression were used.

(a) Starting with the forest above, we now call the following routines in order:

FIND(B), UNION (G,H), UNION (A,G), UNION (E,I)
Draw the resulting forest, using both union-by-rank and path compression. In case of tie during UNION, assume that UNION will put the lexicographically first letter as root:
Answer:

(b) Starting with the disjoint sets A, B, C, D, E, F, G, H, and I, give a sequence of UNIONs and FINDs that results in the forest shown at the top of the page. In case of a tie during union, assume that UNION will put the lexicographically first letter as a root.
Answer: One solution is
UNION (F,G), UNION (A,C), UNION (B,E), UNION (B,D), UNION (D,A)

## Problem \#2

2) ( 25 points) Let $p(x)=$ SUM_FROM_i=0_to_n (p_sub_i*x^i) and $q(x)=$ SUM_FROM_i=0_to_m (q_sub_i*x^i) be polynomials of degrees $n$ and $m$, respectively, where $n$ and $m$ can be any integers such that $\mathrm{n}>=\mathrm{m}$.
(a) Give an algorithm using the FFT that computes the coefficients of $r(x)=p(x) \_$DOT_ $q(x)$. How many arithmetic operations does it perform, as a function of $m$ and $n$ ? Your answer can use $O()$ notation.

Answer: (1) Round up $n+m+1$ to the nearest power of 2, ie find the smallest $k$ such that $2^{\wedge} k>=n+m+1$ : $\mathrm{k}=$ CEILING_OF(LOGbase2 $(\mathrm{n}+\mathrm{m}+1)$ ). (2) Pad the vectors [p_sub_0,...,p_sub_n] and [q_sub_0,..., q_sub_n] with enough zeroes to make vectors p_prime and q_prime of length $2^{\wedge}$ k. (3) Compute p_hat $=$ FFT(p+prime) and q_hat $=$ FFT (q_prime). The cost is $3 * \mathrm{k}^{*} 2^{\wedge} \mathrm{k}$ complex operations, or $10 * \mathrm{k}^{*} 2^{\wedge} \mathrm{k}$ real operations. (4) Multiply (r_hat)_sub_i $=\left(\left(p \_h a t\right) \_s u b \_i\right)^{*}\left(\left(q_{\_} h a t\right) \_s u b \_i\right)$ for $i=0, \ldots .,\left(2^{\wedge} k\right)-1$. The cost is $2^{\wedge} \mathrm{k}$ complex operations, or $6^{*}\left(2^{\wedge} \mathrm{k}\right)$ real operations. (5) Compute $\mathrm{r}_{-}$prime $=$invFFT(r_hat) and extract the leading $\mathrm{n}+\mathrm{m}+1$ entries. The cost is $1.5 * \mathrm{k} * 2 \wedge \mathrm{k}$ complex operations or $5 * \mathrm{k} * 2^{\wedge} \mathrm{k}$ real operations.
The total cost is $(4.5 \mathrm{k}+1) 2^{\wedge} \mathrm{k}$ complex arithmetic operations, or $(15 \mathrm{k}+6) 2^{\wedge} \mathrm{k}$ real arithmetic operations, or more simply $\mathrm{O}\left(\mathrm{n}^{*} \log \mathrm{n}\right)$ operations.
(b) Give an algorithm NOT using the FFT that computes the coefficients of $r(x)=p(x) D O T q(x)$. How many arithmetic operations does it perfrom as a function of m and n ?
Answer: For $\mathbf{j}=0$ to $\mathrm{m}+\mathrm{n}$ compute $\mathrm{r}_{-}$sub_j $=$SUM_FROM_i=(max( $\left.(0, \mathrm{j}-\mathrm{m})\right)$ _to_(min(j,n)) [p_sub_i*q_sub_j-i]. The cost is about 2 mn complex operations, or 8 mn real operations, or more simply, $\mathrm{O}(\mathrm{mn})$ operations.
(c) Combine teh above algorithms to give the fastest possible algorithm depending on $m$ and $n$. How many arithmetic operations does it perform? Roughly how small (in a O() sense) does $m$ have to be for the non-FFT algorithm to be at least as fast as the FFT algorithm?
Answer: If $(15 \mathrm{k}+6) 2^{\wedge} \mathrm{k}<=8 \mathrm{mn}$ use the FFT based algorithm, else the non-FFT based algorithm. Or more roughly, if log_base2_of_n < m, then use the FFT based algorithm.)

## Problem \#3

3) ( 25 points) Given a set $\mathrm{S}=\left\{\mathrm{s} \_\right.$sub_1, .... , s_sub_n\} of n nonnegative intergers, and a positive integer $T$, find a subset of $S$ that adds up to $T$. Use dynamic programming; your solution should not have a cost of growing like $2^{\wedge}$ n.
You should (1) Formulate your algorithm recursively (2) describe how it would be implemented in a bottom-up iterative manner (3) give a cound on its running time in tersm of $n$ and $T$ and (4) give a short justification of both the correctness of the algorithm and its running time.

Answer: Define AddUp(T_prime,i) to be True is a subset of $\left\{s_{-}\right.$sub_1, .... , s_sub_n\} adds up to T_prime <= T, and False otherwise. Clearly AddUp(T_prime,1) = True if s_sub_1 = T_primt and False otherwise, and for larger i $\operatorname{AddUp}\left(T \_\right.$prime, i$)=\operatorname{AddUp}\left(T \_p r i m e, i-1\right)$ v $\operatorname{AddUp}\left(T \_p r i m e ~-~ s \_s u b \_i, i-1\right)$. AddUp can be computed by filling in a T-by-n table of all possible values of AddUp(T_prime,i) for $1<=\mathrm{T}$ _prime $<=\mathrm{T}$ and $1<=\mathrm{i}<=\mathrm{n}$, first filling in all values of AddUp(T_prime, 1 ) and then AddUp (T_prime, i ) for $\mathrm{i}=2$ to n , at a cost of $\mathrm{O}(1)$ per table entry, and $\mathrm{O}(\mathrm{Tn})$ overall. Finally, one inspects $\operatorname{AddUp}(T, n)$, which is true if and only if the problem can be solved. Another T-by-n table Set where Set (T_prime, i) records which of $\operatorname{AddUp}\left(T \_p r i m e, i-1\right)$ or $\operatorname{AddUp}\left(T \_\right.$prime - s_sub_i,i-1) is true (pick arbitrarily if both are true) will let the actual set adding up to T be reconstructed.

## Problem \#4

4) (15 points) True or False?? No explanation required, except for partial credit. Each correct answer is worth 1 point, but 1 point will be SUBTRACTED for each wrong answer, so answer only if you are reasonably certain.
(a) If we can square a general $n$-by-n matrix in $\mathrm{O}\left(\mathrm{n}^{\wedge} \mathrm{d}\right)$ time, where $\mathrm{d}>=2$, then we can multiply any two n -by-n matrices in $\mathrm{O}\left(\mathrm{n}^{\wedge} \mathrm{d}\right)$ time

## Answer: TRUE

(b) If the frequencies of the individual characters in a file are unique, the file's Huffman code is unqiue. Answer: FALSE
(c) Huffman coding can compress any file

Answer: FALSE
(d) The solution to the recurrance $\mathrm{T}(\mathrm{n})=2 \mathrm{~T}(\mathrm{n} / 2)+\mathrm{O}\left(\mathrm{n} * \log _{\mathrm{n}} \mathrm{n}\right)$ is $\mathrm{T}(\mathrm{n})=\operatorname{Theta}\left(\mathrm{n}\left(\log _{\mathrm{n}} \mathrm{n}\right)^{\wedge} 2\right)$.

Answer: TRUE
(e) $\log ^{*} \log \mathrm{n}=\mathrm{O}(\log \log * \mathrm{n})$

Answer: FALSE
(f) In Union-Find (with union-by-rank and path compression), any union only takes $\mathrm{O}\left(\log ^{*} \mathrm{n}\right)$ time, where n is the number of nodes.
Answer: FALSE
(g) In Union-Find data structure with union-by-rank but no path compression, $m$ union and finds takes $\mathrm{O}(\mathrm{m} \log \mathrm{m})$ time.
Answer: TRUE
(h) If the compression is not used, but union-by-rank is used, it is possible to arrange $m$ LINK and FIND operation so that is takes Omega(m log m) time.
Answer: TRUE
(i) If $w$ is a complex $n$-th root of unity, then $|w|=1$, where $|w|$ is the absolute value of $w$.

Answer: TRUE
(j) If we want to ise FFT to multiply two polynomials of degree $\mathrm{n}=2^{\wedge} \mathrm{m}$, we need to run the FF on vectors of length 2 n .
Answer: FALSE
(k) The value of a degree n polynomials at $\mathrm{n}+2$ distinct points determines its coefficients uniquely. Answer: TRUE
(1) To find a optimal way to multiply 6 matrices A1*A2*...*A6, we can find an optimal way to multiply $\mathrm{A} 1^{*} \mathrm{~A} 2 * \mathrm{~A} 3$, and to multiply $\mathrm{A} 4 * \mathrm{~A} 5 * \mathrm{~A} 6$, and combine the result.
Answer: FALSE
(m) Floyd-Warhsall algorithm works with negative edge weights when there are no neagtive cycles.

Answer: TRUE
(n) Floyd-Warshall algorithm is always asymptotically faster than running Dijkstra n times, where n is te number of vertices
Answer: FALSE
(o) You wrote your name and your TA's name on the first page

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